

# LEAD BATTERY

## 360°

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LeadBattery360°  
Assessor Approval  
Process

| V1

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**I. Introduction**

Lead Battery 360° is committed to promoting responsible practices across the lead battery value chain. Central to this commitment is the Assurance Programme that relies on qualified, independent Assessors to evaluate conformance with Lead Battery 360° standards. This procedure details the steps for approving, monitoring, and evaluating Assessors.

This document outlines the procedure for the approval, monitoring, and evaluation of Assessors for Lead Battery 360°, ensuring assessments are conducted with integrity, objectivity, and in alignment with the initiative's standards

**II. Types of Applicants**

Lead Battery 360° approves senior-level individuals with extensive knowledge and practical experience in implementing or assessing the subject matter covered by its standards. Assessors may be contracted by a site either individually or through their firm to assess and verify conformance to the Lead Battery 360° Code in accordance with the Lead Battery 360° Assurance Manual. Outsourcing of assessment responsibilities to anyone not approved by Lead Battery 360° is strictly prohibited.

### III. Approval Process

#### Qualifications

Assessors will be approved by Lead Battery 360° based on the following requirements:

1. Relevant professional qualifications:
  - University degree or commensurate work experience in a relevant field.
  - Completed Lead Battery 360° training and modules as required.
  - Specialised training on Guiding Principle 2 may be required in some situations.
2. Subject matter expertise
  - Minimum of five (5) years working experience in relevant areas such as environmental management, health and safety, labour relations, social impact assessments, and mineral supply chain due diligence.
  - Demonstrated experience in industries such as mining, smelting, refining.
  - Knowledge of practices, processes, and risks typical of the lead battery industry.
3. Audit experience
  - ISO 19011 qualification with documented experience and/or certification on conducting ISO 19011 audits.
  - Ability to apply a risk-based approach to auditing and make evidence-based decisions.
  - Assessment report writing skills.

In addition, approved Assessors are required to perform assessments in accordance with the principles as identified by ISO 19011:

- Integrity: the foundation of professionalism.
- Fair presentation: the obligation to report truthfully and accurately.
- Due professional care: the application of diligence and judgement.
- Confidentiality: security of information.
- Independence: the basis for the impartiality and objectivity of conclusions.
- Evidence based approach: the rational method for reaching reliable and reproducible conclusions through a systematic process.

#### Training Requirements

Assessors are required to undergo Lead Battery 360° training courses, as required by the Lead Battery 360° Secretariat, prior to conducting an assessment.

Assessors are also required to complete refresher courses offered by Lead Battery 360°, including any training pertaining to revised Performance Expectations as appropriate. Additional required and recommended trainings may be assigned to maintain approval as appropriate. Lead Battery 360° may accept equivalent training in lieu of the above on a case-by-case basis. Records of successful training completion will be maintained by Lead Battery 360°.

#### Application

Applicants who wish to be approved may apply by contacting the Secretariat at <https://www.leadbattery360.org/contact-us>. An application form will be provided and shall include:

- Personal and professional information: Full name, contact details, educational background, and professional experience.

- Demonstration of qualifications: Detailed evidence of meeting the qualifications outlined above under [Qualifications](#) including certifications, audit logs, and references.
- Professional references: Name, contact information, and relationship with the reference.
- Conflict of interest disclosure: Declaration of any potential conflicts of interest, including previous employment or consulting engagements with sites to be assessed.
- Signed declaration: A signed declaration attesting to the accuracy of the information provided and commitment to adhere to Lead Battery 360°'s policies and procedures.

### Review and Decision

Lead Battery 360° will review the application and supporting materials. Additional evidence or documentation may be requested to support adherence to one or more requirements where appropriate. Based on the information provided, Lead Battery 360° will determine the scope the Assessor is approved for, based on subject-matter expertise, familiarity with types of operations, language ability, and/or geographical experience.

If not approved, Applicants may re-apply for approval once they meet the requirements.

### Public Information

Lead Battery 360° will publish on its website the following information for approved Assessors:

- Name:
- Contact Email:
- Contact Phone Number:
- Geographic Scope:
- Any Limitations: As identified by Lead Battery 360°

## IV. Monitoring and Evaluation

### Monitoring Process

Lead Battery 360° may use the following methods to continually monitor and evaluate Assessor performance, as well as contribute to the continuous improvement of the assurance process:

- Assessment records: Review of assessment reports for adherence to program expectations
- Shadow assessments: Where Lead Battery 360° staff or observers accompany an assessment
- Training records: Verification of completion of required trainings
- Feedback: Input provided by participants
- Grievances: Concerns lodged against Assessors through the Lead Battery 360° Grievance Mechanism.

Lead Battery 360° will periodically review Assessor performance to ensure consistent application of its standards. This review will include analysis of the overall quality and consistency of assessment reports, adherence to program requirements, and feedback from stakeholders. Findings from this review process will inform any necessary updates to training modules, approval criteria, or procedural guidelines. Additionally, continuous feedback mechanisms will be established to address identified issues promptly and to promote ongoing improvement of the assurance process, thereby maintaining the credibility and effectiveness of the Lead Battery 360° initiative. Assessors may be suspended or removed in cases of misconduct or failure to comply with Lead Battery 360° policies and procedures.